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| APPLICATION NO.                             | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
|---|-----------------|----------------------|---------------------|-----------------|
| 10/634,331                                  | 08/04/2003      | Alan J. Polito       | 7035-0003.03        | 6774            |
|   | 7590 11/17/2004 |                      | EXAMINER            |                 |
| ROBINS & PASTERNAK<br>1731 EMBARCADERO ROAD |                 |                      | HINES, J            | ANA A           |
| SUITE 230                                   |                 |                      | ART UNIT            | PAPER NUMBER    |
| PALO ALTO, CA 94303                         |                 |                      | 1645                |                 |

DATE MAILED: 11/17/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

| •  | Application No.  | Applicant(s)  |
|--|--|---|
|  | 10/634,331   | POLITO ET AL.   |
| Office Action Summary  | Examiner   | Art Unit  |
|  | Ja-Na Hines  | 1645  |
| The MAILING DATE of this communication Period for Reply  | n appears on the cover sheet w   | ith the correspondence address  |
| A SHORTENED STATUTORY PERIOD FOR RITHE MAILING DATE OF THIS COMMUNICATION  - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communication  - If the period for reply specified above is less than thirty (30) days, If NO period for reply is specified above, the maximum statutory properties to reply within the set or extended period for reply will, by some Any reply received by the Office later than three months after the rearned patent term adjustment. See 37 CFR 1.704(b).   | ON.  FR 1.136(a). In no event, however, may a ron.  a reply within the statutory minimum of thin teriod will apply and will expire SIX (6) MON statute, cause the application to become AS | reply be timely filed  iy (30) days will be considered timely.  ITHS from the mailing date of this communication. |
| Status   |  |   |
| <ul> <li>1) Responsive to communication(s) filed on 8</li> <li>2a) This action is FINAL. 2b)</li> <li>3) Since this application is in condition for all closed in accordance with the practice under the second seco</li></ul> | This action is non-final.<br>owance except for formal matte  | ers, prosecution as to the merits is<br>. 11, 453 O.G. 213.   |
| Disposition of Claims  |  |   |
| 4)  Claim(s) <u>98-116</u> is/are pending in the appli<br>4a) Of the above claim(s) is/are with<br>5)  Claim(s) is/are allowed.<br>6)  Claim(s) is/are rejected.<br>7)  Claim(s) is/are objected to.<br>8)  Claim(s) <u>98-116</u> are subject to restriction a  | drawn from consideration.  |   |
| Application Papers   | •  |   |
| 9) The specification is objected to by the Exam 10) The drawing(s) filed on is/are: a) Applicant may not request that any objection to Replacement drawing sheet(s) including the cor 11) The oath or declaration is objected to by the  | accepted or b) objected to be<br>the drawing(s) be held in abeyand<br>rrection is required if the drawing(s  | ce. See 37 CFR 1.85(a).<br>s) is objected to. See 37 CFR 1.121(d).  |
| Priority under 35 U.S.C. § 119   |  |   |
| 12) Acknowledgment is made of a claim for fore a) All b) Some * c) None of:  1. Certified copies of the priority documents.  2. Certified copies of the priority documents.  3. Copies of the certified copies of the priority documents.  * See the attached detailed Office action for a final series.   | ents have been received.<br>ents have been received in Ap<br>priority documents have been reau (PCT Rule 17.2(a)).   | plication No eceived in this National Stage   |
| Attachment(s)  | »  |   |
| <ul> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/Paper No(s)/Mail Date</li> </ul>  | Paper No(s)/   | mmary (PTO-413)<br>/Mail Date<br>ormal Patent Application (PTO-152)   |

## **DETAILED ACTION**

## Election/Restrictions

- Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - Claims 98-106 are drawn to an apparatus comprising a housing having a receptacle and an auto start means, classified in class 435, subclass 287.9.
  - II. Claims 107-111 are drawn to an apparatus comprising a housing; an auto start means and a test strip comprising an internal quality control means classified in class 435, subclass 287.3.
  - III. Claims 112-113 are drawn to a method of detecting an analyte in a sample by use of a lateral flow assay, classified in class 435, subclass 4.
  - IV. Claims 114-115 are drawn to a method of analyzing results of a lateral flow assay on a test strip, classified in class 435, subclass 39.
  - Claim 116 is drawn to a method of conducting quality control, classified in class 436, subclass 517.
- 2. The inventions are distinct, each from the other because of the following reasons: Inventions II and I are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions, the apparatus of group I comprises different components than the apparatus of group II. The apparatus of group II comprises a housing; an auto start means and a test strip comprising an internal quality control

Application/Control Number: 10/634,331

Art Unit: 1645

means which the apparatus of group I does not. Therefore, in view of the different components and the different modes of operation the inventions are deemed distinct.

Searching the inventions of Group I or II together would impose a serious search burden. The inventions of Groups I or II have a separate status in the art as shown by their different classifications. Moreover, in the instant case, the search for the apparatuses of Groups I or II are not coextensive. The test strip comprising an internal quality control means is not required for the search of group I. The prior art teaches that apparatus can be made with the test strip and would not necessarily be applicable to using the apparatus of Group I. Moreover even if the apparatus of Group I were known, the apparatus of Group II may be novel and unobvious in view of the preamble and components of Group II.

Inventions I or II and III-V are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case, none of the methods require the use of the apparatus of group II or I. Devices that can determine the presence of a disease or condition are well known in the art and such devices typically provide qualitative results for the analyte being tested. Therefore, methods of detection and analysis can be conducted using well-known lateral flow analysis devices. Thus, the methods can be practiced with

Application/Control Number: 10/634,331

Art Unit: 1645

materially different apparatus or by hand when using test strips with visually detectable labels.

Searching the inventions of Group I or II and III-V together would impose a serious search burden. The inventions of Groups I or II and III-V have a separate status in the art exemplified by their different classifications. Moreover, in the instant case, the search for the apparatus' and methods of the Groups are not coextensive. Furthermore, a search for one invention would not necessarily incorporate a search for another group if one group is novel or unobvious. Similarly, a search indicating that a group is known or would have been obvious would not extend to a holding that the other groups are known or would have been obvious. Thus inventions are distinct for the reasons given above.

Inventions III-V are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions. The methods are distinct as claimed because they have different methods with different method steps; different functions and the effects have different final outcomes. Group III is drawn to a method of detecting an analyte in a sample by use of a lateral flow assay. The method of Group III has a different function, i.e., detecting an analyte, which is entirely different than a method for analyzing results of a lateral flow assay on a test strip or a method of conducting quality control, as recited in groups IV and V. The steps of group III are different than the steps

Art Unit: 1645

of group IV or V, since group III comprises providing a sample; allowing analyte to react with binding agent; measuring reflectance; deducing background reflectance; and determining amount of analyte presence. No other method recites the same steps. Similarly, the steps comprised in either groups IV or V is different than the remaining groups. The method of group III does not produce the same results as found in either group IV or V. Each group produces different effects and different functions when compared to the other groups. Moreover, the methodology and materials differ significantly. Therefore, for these reasons the inventions are patentably distinct.

Furthermore the distinct steps and products require separate and distinct searches. The inventions of Groups III-V have a separate status in the art as shown by their different classification. As such, it would be burdensome to search the inventions of groups III-V together.

- 3. Because these inventions are distinct for the reasons given above, have acquired a separate status in the art as shown by their different classification and have divergent subject matter, the search required for Group I is not required for Group II or III, restriction for examination purposes as indicated is proper.
- 4. Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Application/Control Number: 10/634,331

Art Unit: 1645

5. Applicant is reminded that upon the cancellation of claims to a non-elected

invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one

or more of the currently named inventors is no longer an inventor of at least one claim

remaining in the application. Any amendment of inventorship must be accompanied by

a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

6. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Ja-Na Hines whose telephone number is 571-272-0859.

The examiner can normally be reached on Monday-Thursday and alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Lynette Smith can be reached on 571-272-0864. The fax phone number for

the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Ja-Na Hines % November 13, 2004

MM Mynifield
NITA MINNIFIELD
PRIMARY EXAMINER

11-13-04

Page 6